



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel J. Smith and Martin A. Taubman

Application No.: 09/290,049

Group: 1645

Filed: April 12, 1999

Examiner: R. Swartz

Confirmation No.: 9419

For: Synthetic Peptide Vaccines For Dental Caries

RECEIVED

OCT 17 2002

TECH CENTER 1600/2900

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>10/19/02</u>	<u>Robin E. MacRae</u>
Date	Signature
<u>Robin E. MacRae</u>	
Typed or printed name of person signing certificate	

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

Transmitted herewith is an Amendment C for filing in the above-identified application.

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)	(COL. 4)	(COL. 5)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	51	MINUS	* 64	0
INDEP	27	MINUS	** 27	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY		
	RATE	ADDIT. FEE
X	\$ 9	\$
X	\$39	\$
+	\$130	\$

OR

OTHER THAN SMALL ENTITY		
	RATE	ADDIT. FEE
X	\$18	\$ 0
X	\$78	\$ 0
+	\$260	\$

* not fewer than 20
** not fewer than 3

TOTAL = \$ 0

TOTAL = \$ 0

Please charge Deposit Account No. 08-0380 for the following fees:

<input checked="" type="checkbox"/>	Petition for Three-Month Extension of Time	\$	<u>920</u>
<input type="checkbox"/>	Amendment Fee	\$	<u> </u>
<input checked="" type="checkbox"/>	Other Fees: Information Disclosure Statement	\$	<u>180</u>
		\$	<u> </u>
		\$	<u> </u>
	TOTAL:	\$	<u><u>1100</u></u>

A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for three month Extension of Time	\$	<u> </u>
<input type="checkbox"/>	Amendment Fee	\$	<u> </u>
<input type="checkbox"/>	Other Fees:		
		\$	<u> </u>
		\$	<u> </u>
	TOTAL:	\$	<u><u>0</u></u>

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Pamela A. Torpey
Pamela A. Torpey
Registration No.: 45,736
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: October 9, 2002

26/D
Jm
10/18/02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

OCT 17 2002

Applicants: Daniel J. Smith and Martin A. Taubman

Application No.: 09/290,049 Group Art Unit: 1645

TECH CENTER 1600/2900

Filed: April 12, 1999 Examiner: R. Swartz

Confirmation No.: 9419

Title: SYNTHETIC PEPTIDE VACCINES FOR DENTAL CARIES

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>10/9/02</u>	<u>Robin E. MacRae</u>
Date	Signature
<u>Robin E. MacRae</u>	
Typed or printed name of person signing certificate	

AMENDMENT C

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

This Amendment is being filed in response to the Office Action mailed from the U.S. Patent and Trademark Office on April 9, 2002 in the above-identified application. Reconsideration and further examination are requested.

An extension of time to respond to the Office Action is respectfully requested. A Petition for Extension of Time and the appropriate fee are being filed concurrently with this Amendment.

Please amend the application as follows: